

# NH System of Care Assessments

Using an Annual Assessment to Monitor & Improve the Spread & Quality of Evidence-Based and Promising Children's Mental Health Practices Throughout NH's Children's System of Care

Jim Fauth

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Behavioral  
Health  
Improvement  
Institute

**Keene**  
STATE COLLEGE



Department of  
**HEALTH &  
HUMAN SERVICES**

# Learn...

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Range of practices routinely delivered to NH children & families

Key barriers to scaling high-fidelity practice

High impact strategies for high-quality dissemination & implementation

# Agenda

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-  Getting to know each other
-  Setting the NH SOC context
-  Assessment goals, challenges, solutions
-  Practices assessed
-  Findings & lessons learned
-  Breakout groups/discussion: noodle on high impact solutions

# Getting to know each other

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# Who's in the room?

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## Round robin style...

Name

Org/agency

Mission

Role

# BHII basics

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**B**ehavioral  
**H**ealth  
**I**mprovement  
**I**nstitute

**Keene**  
STATE COLLEGE



Location



Mission



Services



Portfolios/settings



SOC Team

# BHII practice

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## Utilization-focused

- Information needs of intended users
- Methods agnostic

## Values

- Beholden to interested & affected users
- Armed with the highest leverage evidence
- Aimed at equitable & maximal population impact

## Mechanisms of change

- Reality testing
- Knowledge translation
- Evaluative thinking
- Practice scaffolding

# Setting the NH SOC context

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# NH SOC Principles

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Evidence-informed

Individualized

Least restrictive environments

Youth and families as full partners

Integrated care

Service coordination

Developmentally appropriate

Prevention, early intervention

Promoting advocacy and quality

Non-discrimination

# NH SOC Values

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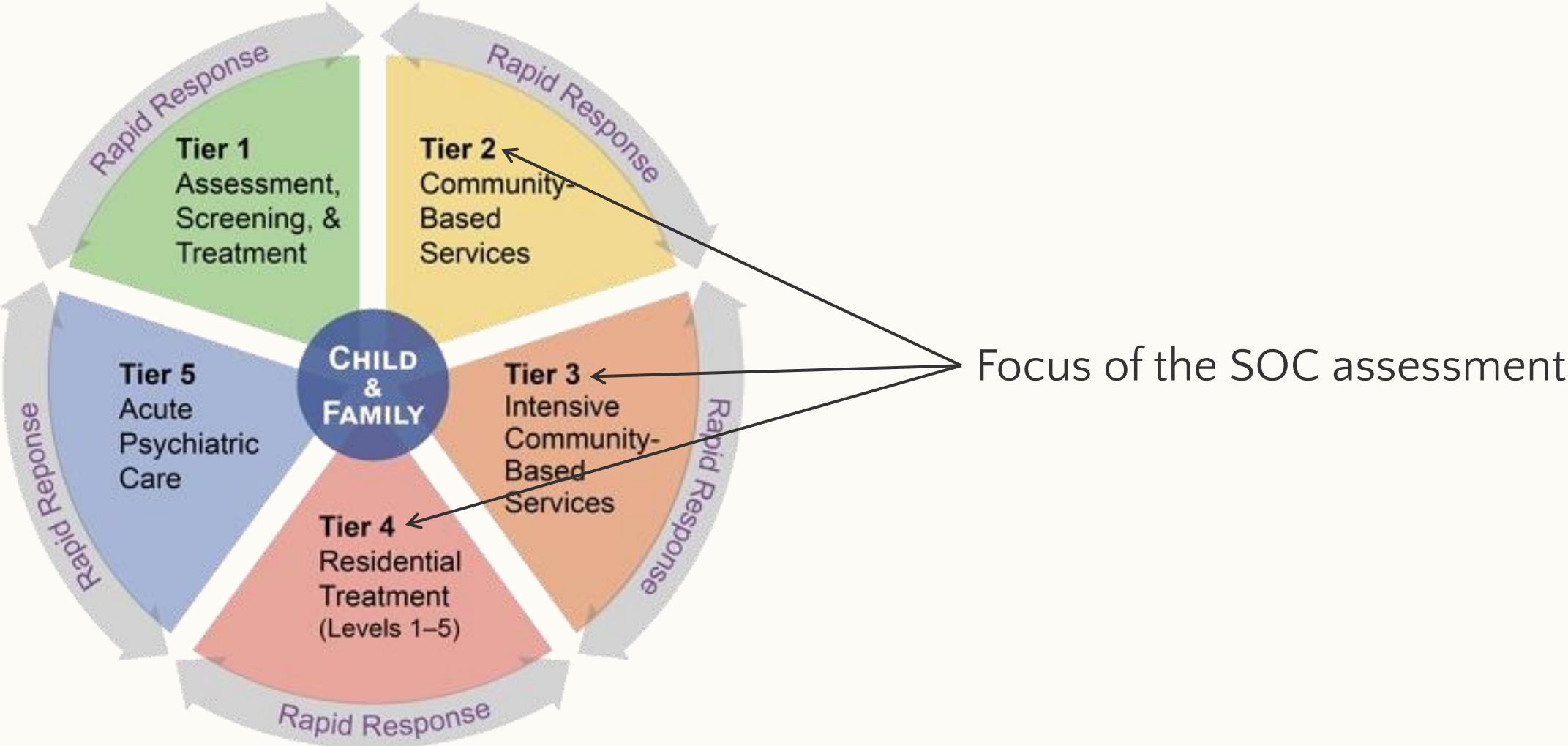
Youth & family driven

Community-based

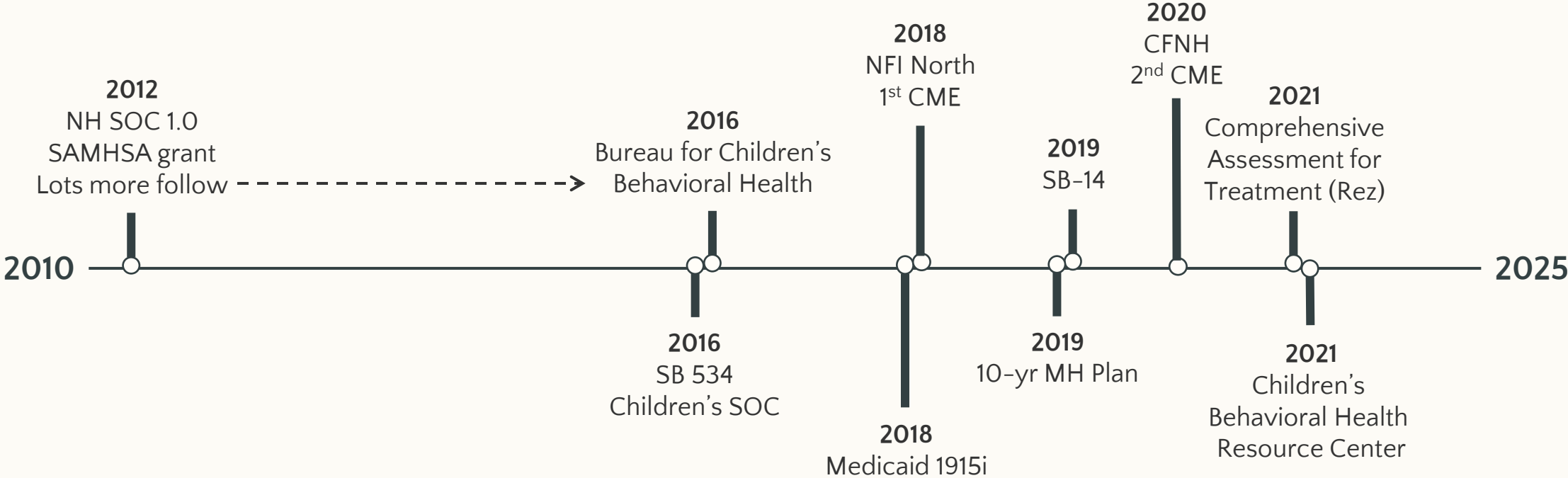
Culturally & linguistically competent

Trauma-informed

# NH SOC Tiers



# NH SOC Timeline



# Assessment goals, challenges, solutions

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# System Assessment Aims

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 Assess NH's public children's behavioral health service array

Req'd by SOC law & CBHRC contract

Focus on high-leverage practices

Place practices on the same metric

 Needs Assessment for the CBHRC/SOC

For each site/practice combo:

Descriptive information


Practice & evidence ratings (1-5 scale)


# System Assessment Challenges

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 Data poor environment

 Placing diverse practices on a common metric

 Distrust – sites being undercompensated then blamed for “poor” practice

 Lots of practice-site combinations on an accelerated timeframe (8 mo!)

# Approach

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**Inspiration:** Glasgow's "RE-AIM" model

**Design:** Annual mixed-method assessment of 5 practices (rotate)

**Sites:** CMHCs, IIHS, CMEs, Rez

**Practices:** High-leverage practices (not just EBPs)

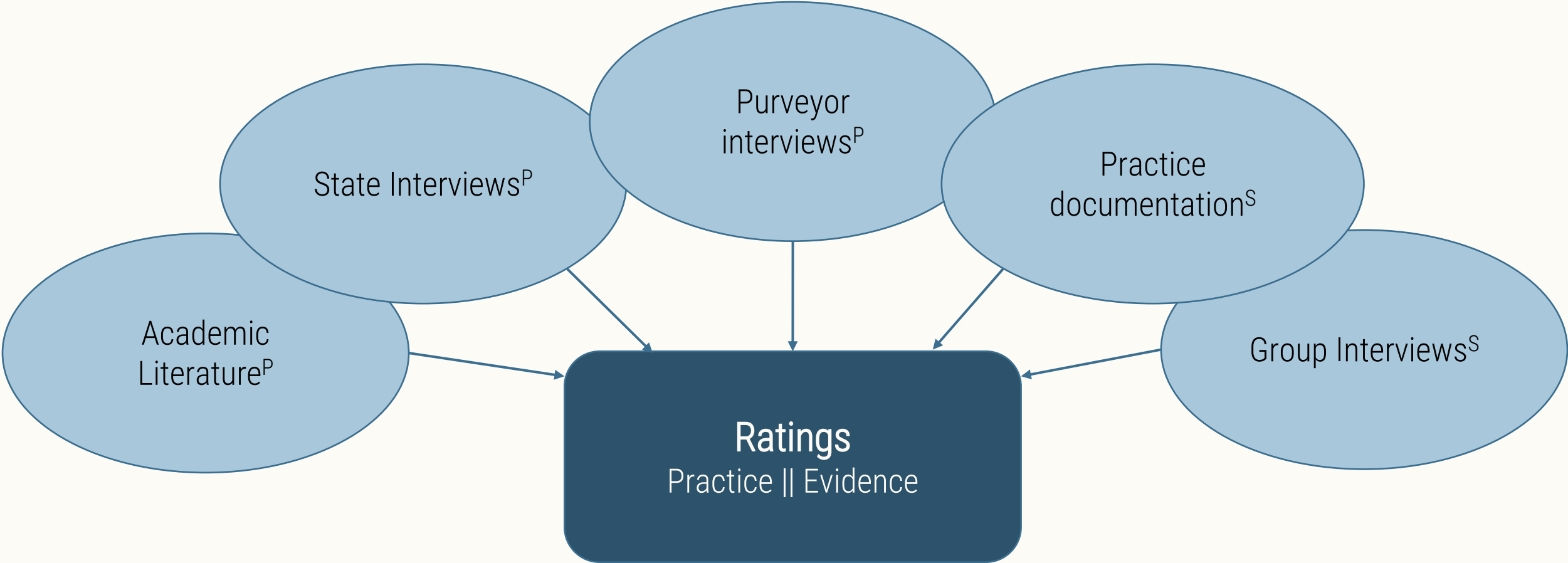
**Data sources:** Scholarly literature + site-level data & documentation + interviews

**Rating tool:** System of Care Assessment Tool (SOCAT)

**Raters:** Two doctoral-level psychologists

**Data platform:** Custom-built Quickbase app/database

# Data sources



P = practice level; S=site level

# System of Care Assessment Tool (SOCAT)

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5 domains: SOC Values, Reach, Implementation, Potency, Synergy

Multiple items/indicators per domain (21 items in all)

Ratings on a fully-anchored 5-point scales

**Practice ratings:** Degree to which gold standard has been met (1=not at all, 5=completely/fully)

**Evidence ratings:** Rigor/credibility of the evidence (1=subjective impression, 5=rigorous documented evidence)

# SOCAT domains & items: SOC Values + Reach

| Item                | Gold standard (truncated version)  |
|---------------------|--|
| <b>SOC Values</b>   |  |
| Family/youth driven | Youth/family voice/choice incorporated into all aspects of the practice; key decisions are youth/family driven |
| CLC                 | Practice responsive to the culture, values, norms, and language of the youth/family                            |
| Trauma-informed     | The practice effectively incorporates all six principles of trauma-informed care                               |
| <b>Reach</b>        |  |
| Fit                 | Practice is delivered to the population and for the purpose/outcomes it was designed for/tested on             |
| Capacity            | The organization/program has the capacity to deliver the practice to everyone who needs it                     |
| Timeliness          | Practice is initiated for those who need it within one week  |
| Dose                | Most/all who enroll in the practice receive what is considered an adequate dose of the practice                |
| Equitable           | Access, process, and outcomes are equitable across ethnic, racial, geographic, other relevant groups           |

# SOCAT domains & items: Implementation

| Item                            | Gold standard (truncated version)   |
|---------------------------------|---|
| <b>Implementation</b>           |   |
| <b>Structural support</b>       | The state system fully supports and resources high-fidelity implementation of the practice through its policies and procedures, contracts, reimbursement rates, oversight mechanisms, administrative requirements, data platforms, etc. |
| <b>Organizational alignment</b> | Culture is explicitly supportive of the practice; leaderships buys into, champions, resources the practice; data platform helps scaffold the practice; physical environment conducive to practice; staff have the tools they need       |
| <b>Professional development</b> | Ongoing training and weekly coaching by certified trainer/expert(s); access to additional trainings and professional development as needed  |
| <b>Performance monitoring</b>   | Ongoing monitoring of demographics, service delivery, alliance/experience of care, fidelity, and outcomes; regular use of data for data-based decision-making at case, practitioner, and practice levels; regular PDSA cycles           |
| <b>Fidelity</b>                 | Practice is delivered with integrity, faithful to the conceptual/guiding model and theory, as demonstrated by regularly monitored scores from a well-established fidelity tool  |

# SOCAT domains & items: Potency + Synergy

| Item                | Gold standard (truncated version)   |
|---------------------|---|
| <b>Potency</b>      |   |
| Level of evidence   | Meets EBP standards (at least 2 rigorous controlled trials or equivalent controlled trials or equivalent)                             |
| Effect size         | Practice demonstrates a large effect size relative to treatment as usual  |
| Durability          | Practice shows strong durability/maintenance of gains at least one-year post-treatment  |
| Local effectiveness | Practice -- as implemented at sites -- achieves similar outcomes to those in research settings (i.e., local effectiveness = efficacy) |
| <b>Synergy</b>      |   |
| Coordination        | Substantial, bi-directional, and proactive communication & coordination with natural & professional supports                          |
| Sustainability      | Organization can sustain the practice at at least the current level of implementation for at least two more years                     |
| Feasibility         | Practice is straightforward and simple to deliver with fidelity   |
| Ecological niche    | Practice fills a unique and important niche or gap in the overall array of services/system of care environment                        |

# SOCAT Tool Sample: Reach

| Domains/Items |   | Intervention Rating   |   |   |   |  |                                     |
|---------------|---|---|---|---|---|--|-------------------------------------|
| Domain        | Indicator   | ○   | ◐   | ◑   | ◒   | ●  | Not able to rate                    |
| Reach         | <b>4. Fit</b><br>The practice is an ideal fit for the target population/intended outcomes; it is delivered to the population and for the purpose/outcomes it was designed for/tested on | 1<br>No fit between actual and ideal target population & outcomes     | 2<br>A little fit between actual and ideal target population & outcomes | 3<br>Some fit between actual and ideal target population & outcomes         | 4<br>Considerable fit between actual and ideal target population & outcomes     | 5<br>Complete fit between actual and ideal target population & outcomes    |                                     |
|               | <b>5. Capacity</b><br>The organization has the capacity to deliver the practice to all youth/families who meet eligibility criteria (i.e., the target population) at intake             | 1<br>No capacity - able to serve 1-20% of the target population       | 2<br>Little capacity - able to serve 21-40% of the target population    | 3<br>Some capacity - able to serve 41-60% of target population              | 4<br>Considerable capacity - able to serve 61-80% of target population          | 5<br>Complete capacity - able to deliver to 81-100% of target population   |                                     |
|               | <b>6. Timeliness</b><br>Practice is able to be initiated for those who need it within one week of referral  | 1<br>Not timely - 29+ days to first service                           | 2<br>Minimally timely - 22-28 days to first service                     | 3<br>Somewhat timely - 15-21 days to first service                          | 4<br>Considerably timely - 8-14 days to first service                           | 5<br>Completely timely - 1-7 days to first service                         |                                     |
|               | <b>7. Dose</b><br>Most/all who enroll in the practice receive what is considered an adequate dose of the practice to have a positive effect   | 1<br>No dosage (1-19% adequate dose)                                  | 2<br>A little dosage (22-39% adequate dose)                             | 3<br>Some dosage (41-59% adequate dose)                                     | 4<br>Considerable dosage (61-79% adequate dose)                                 | 5<br>Complete dosage (81+% adequate dose)                                  | Not able to rate (no practice data) |
|               | <b>8. Equitable</b><br>Access, process, and outcomes are equitable across ethnic, racial, geographic, other relevant groups   | 1<br>Not equitable - access and/or outcomes greatly favors advantaged | 2<br>A little equitable - access and/or outcomes favors advantaged      | 3<br>Somewhat equitable - access and/or outcomes somewhat favors advantaged | 4<br>Considerably equitable - access and/or outcomes slightly favors advantaged | 5<br>Completely equitable - access and/or outcomes do not favor advantaged | Not able to rate (no practice data) |

# Practices assessed

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## 2022: Practices by site type (site-practice combos = 30)

| Year | Practice  | Population  | Tier  | Setting    | #  |
|------|---|---|-------|------------|----|
| 2022 | Dialectical Behavioral Therapy for Adolescents (DBT-A)          | Adolescents experiencing self-harm                      | 2     | CMHCs      | 8  |
|      | Modular Approach to Therapy for Children (MATCH)                | Kids with “simple” depression, anxiety, trauma, conduct | 2     | CMHCs      | 10 |
|      | NAVIGATE  | Teens, young adults with first episode psychosis        | 2     | CMHCs      | 3  |
|      | Rehab, Empowerment, Natural Supports, Education, & Work (RENEW) | Transition-aged youth moving toward independence        | 2 & 4 | CMHCs, IHS | 7  |
|      | Wraparound Care Coordination (FAST Forward)                     | Youth with SED at-risk for out of home placement        | 3     | CMEs       | 2  |

## 2023: Practices by site type (site-practice combos = 39)

| Year | Practice   | Population  | Tier  | Setting    | #  |
|------|--|---|-------|------------|----|
| 2023 | Child Parent Psychotherapy (CPP)                     | Young, traumatized children and their caregivers              | 2 & 3 | CMHCs      | 14 |
|      | Seven Challenges (7C)                                | Adolescents with substance misuse & co-occurring issues       | 4     | Rez        | 5  |
|      | Transitional Enhanced Care Coordination (TrECC)      | Children & youth transitioning in/out of residential settings | 4     | CMEs       | 2  |
|      | Trauma-Focused Cognitive Behavioral Therapy (TF-CBT) | Children & youth with significant PTSD symptoms               | 2 & 3 | CMHCs, ISO | 11 |
|      | Trust-Based Relational Intervention (TBRI)           | Youth with developmental trauma histories in conjugate care   | 4     | Rez        | 7  |

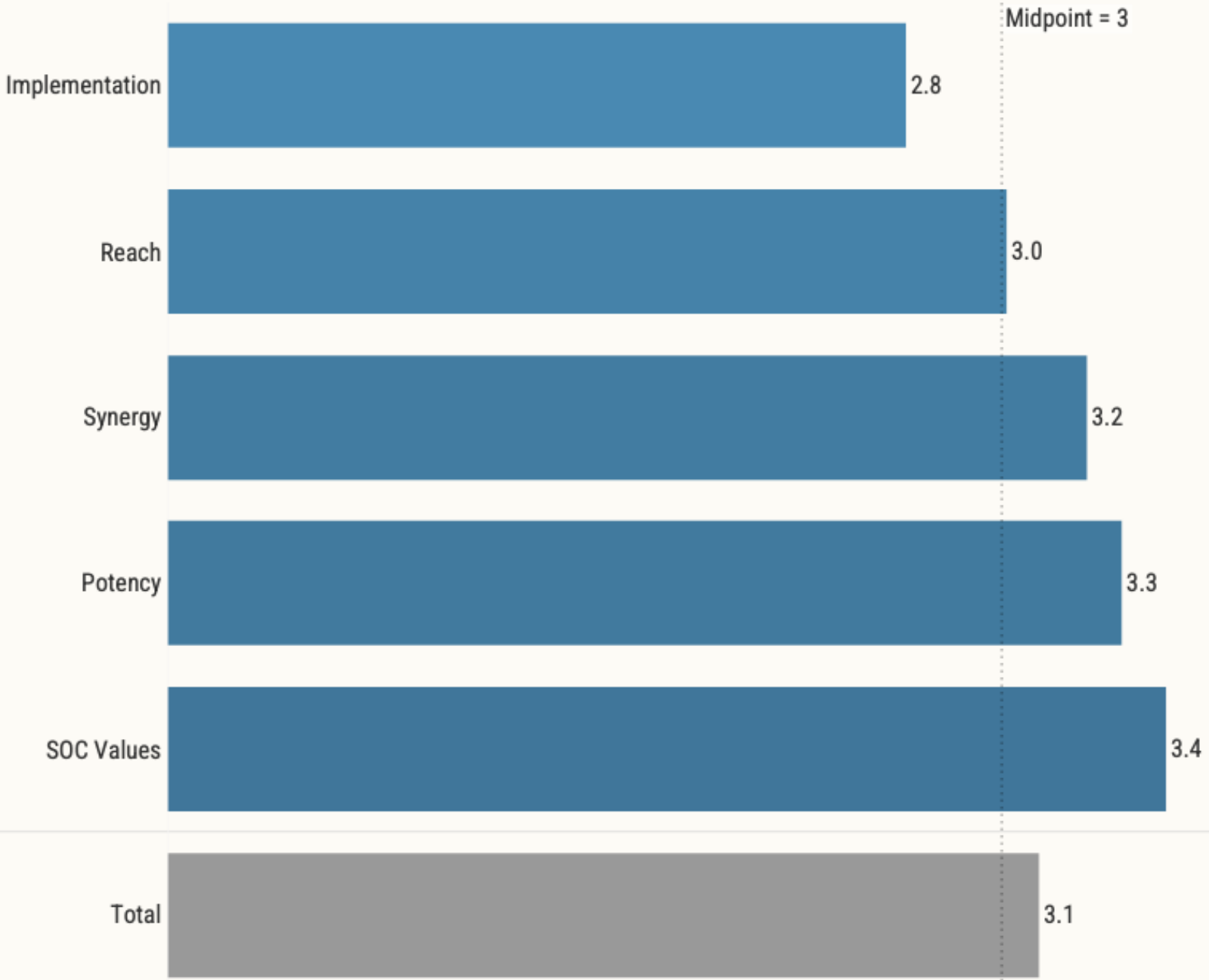
## 2024: Practices by site type (site-practice combos = 38\*)

| Year | Practice   | Population  | Tier    | Setting          | #  |
|------|--|---|---------|------------------|----|
| 2024 | Cognitive Behavioral Therapy (CBT)                 | Kids with behavioral health issues not requiring specialty care   | 2, 3, 4 | CMHCs, IIHS, Rez | 20 |
|      | Early Childhood Wraparound (ECW)                   | Very young children with behavioral health needs & their families | 3       | CMEs             | 2  |
|      | Eye Movement Desensitization & Reprocessing (EMDR) | Kids with trauma/PTSD symptoms                                    | 2 & 3   | CMHCs, IIHS      | 10 |
|      | Intercept (aka Youth Villages)                     | Kids requiring intensive & comprehensive in-home services         | 3       | IIHS             | 2  |
|      | Multisystemic Therapy (MST)                        | Youth with delinquent behavior                                    | 3       | IIHS             | 4  |

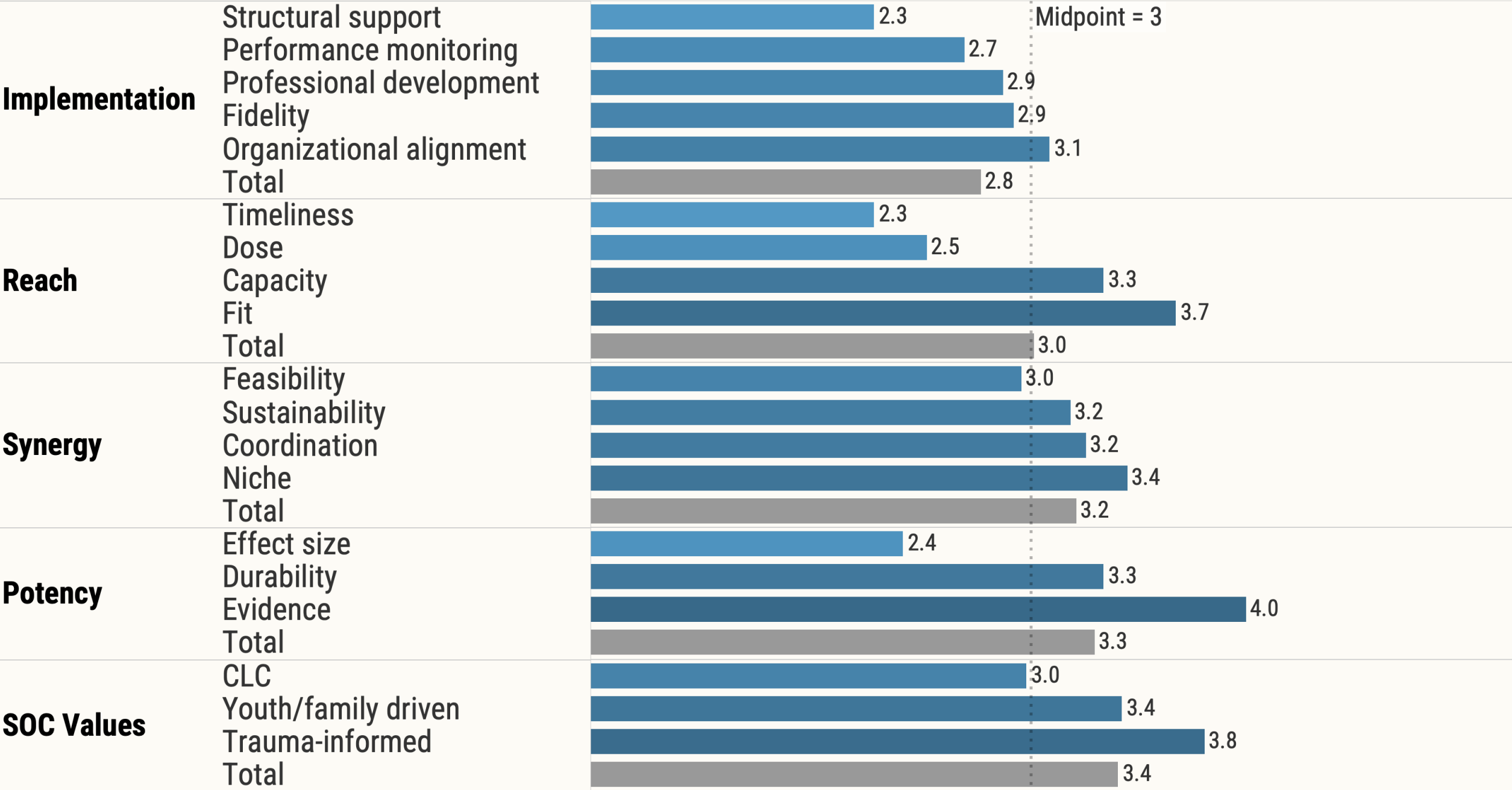
# Findings

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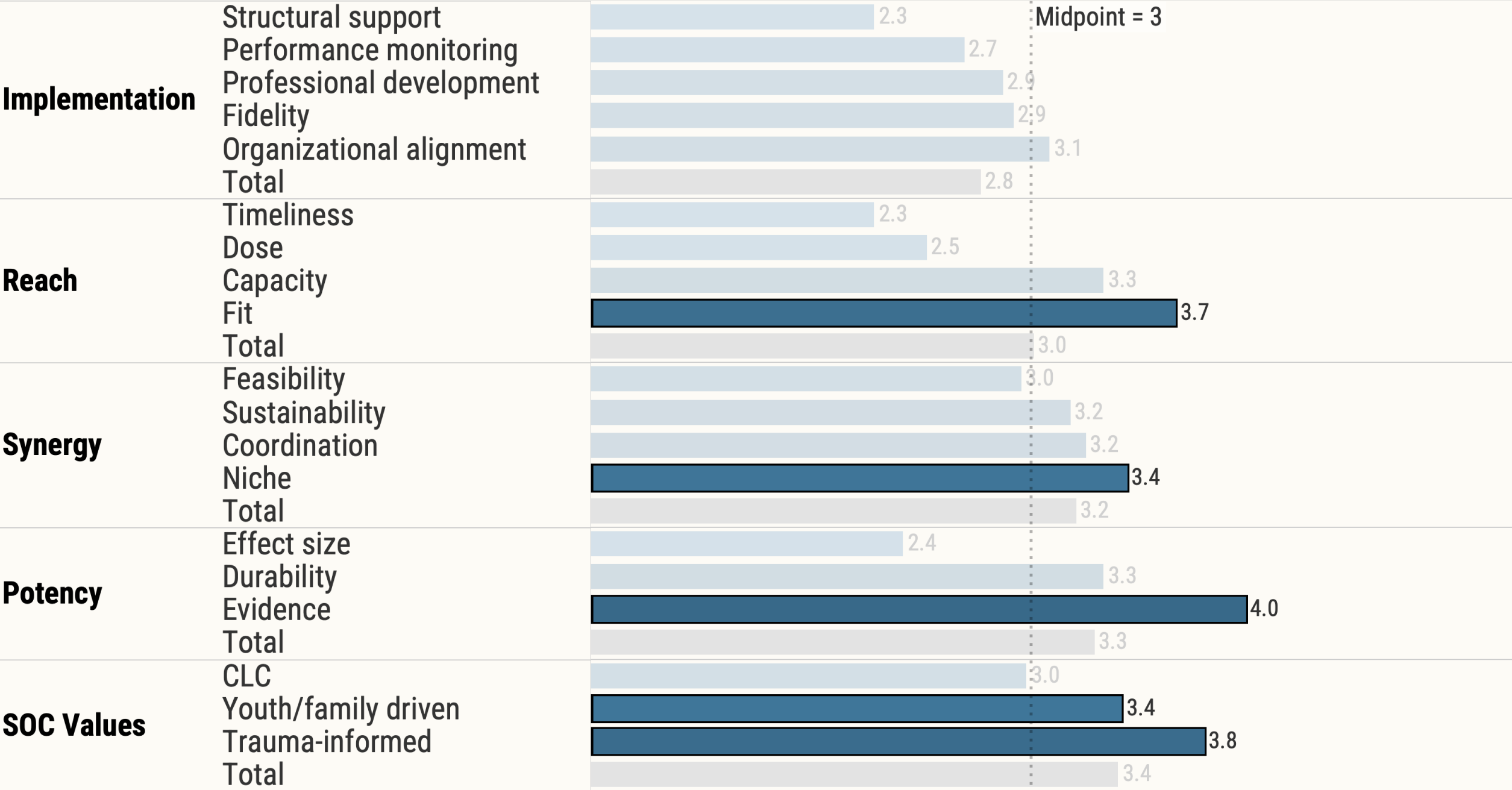
Average SOCAT scores by domain



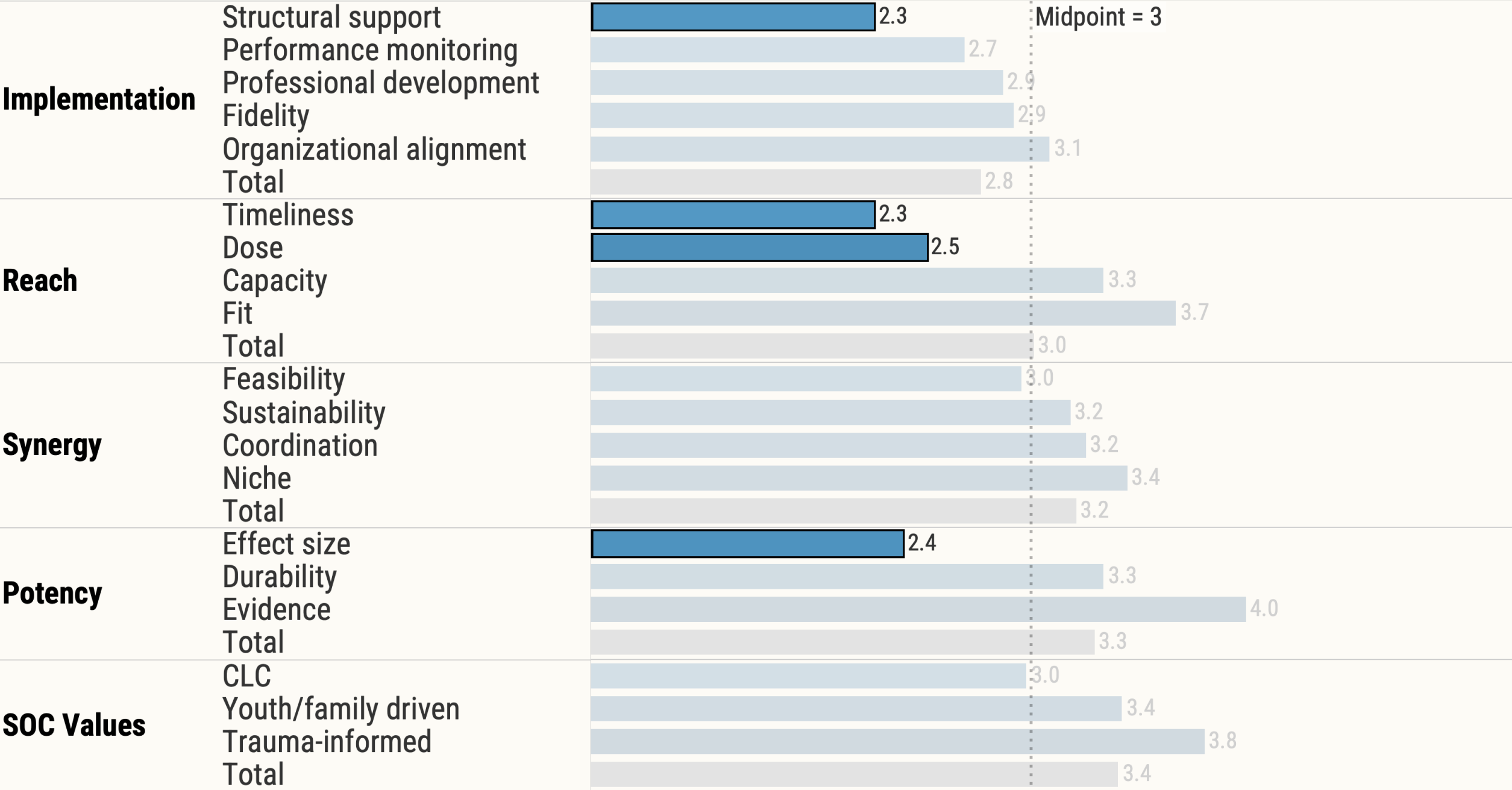
# Average SOCAT scores by domain and item



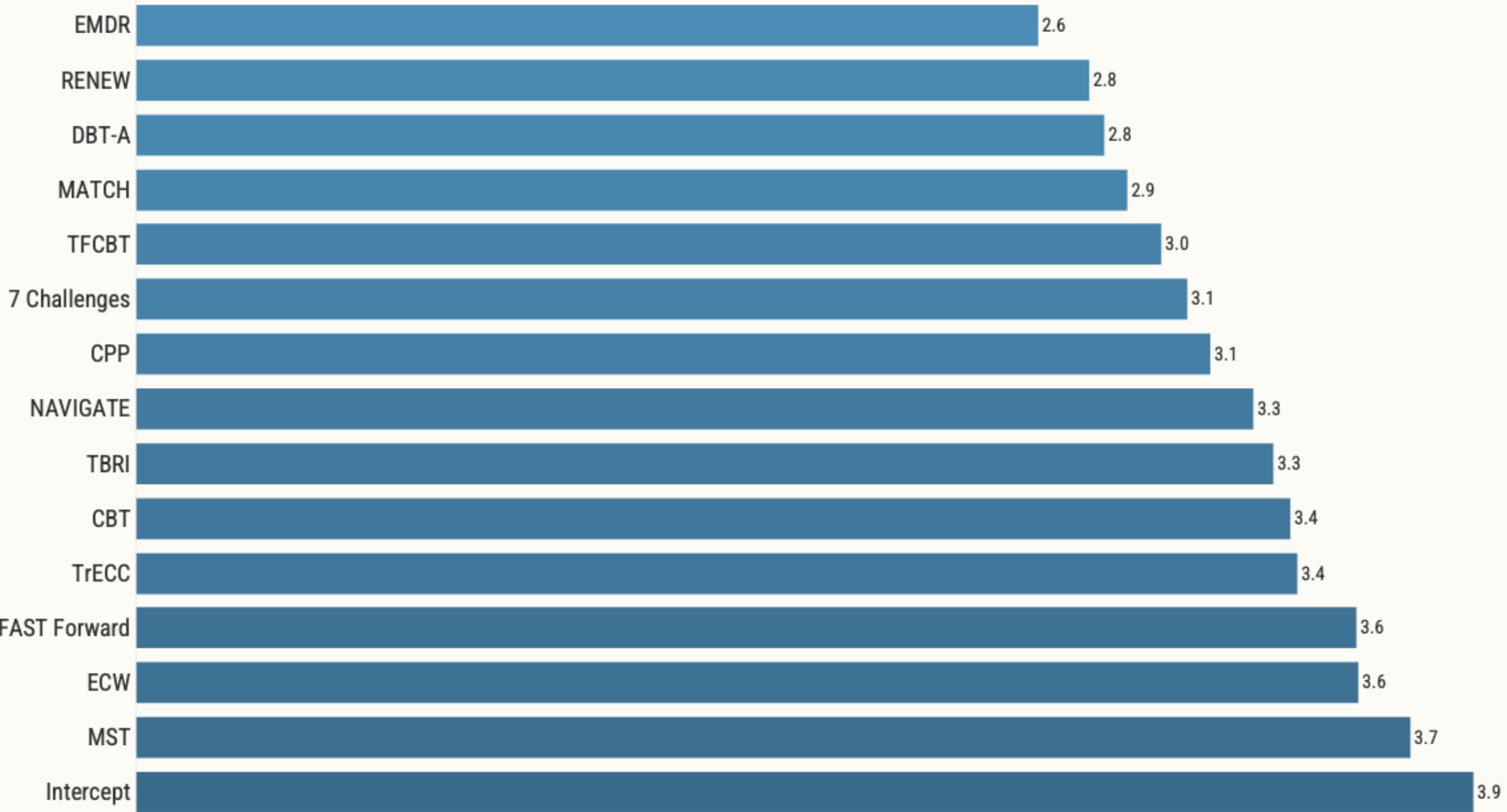
# Average SOCAT scores by domain and item



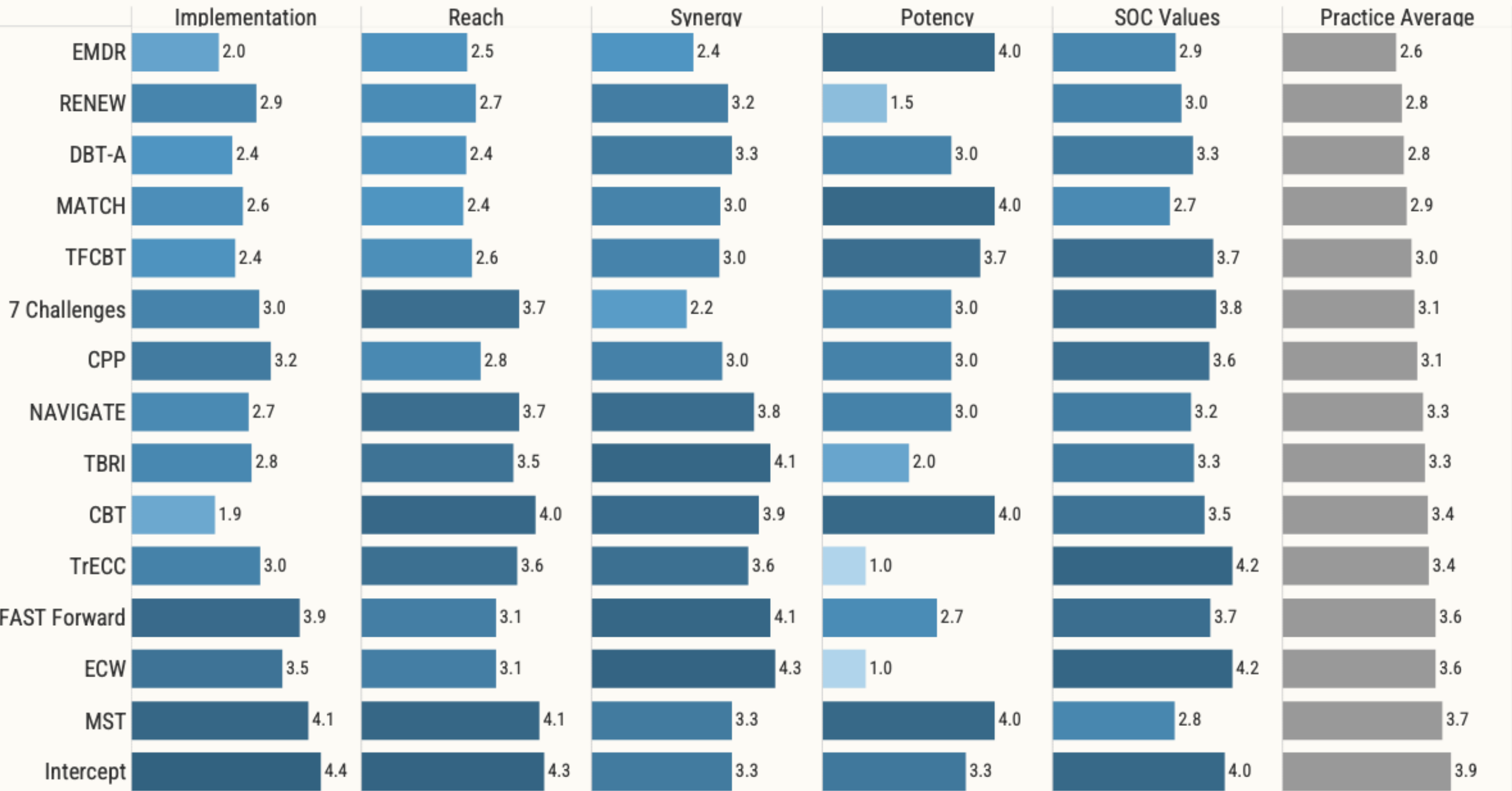
# Average SOCAT scores by domain and item



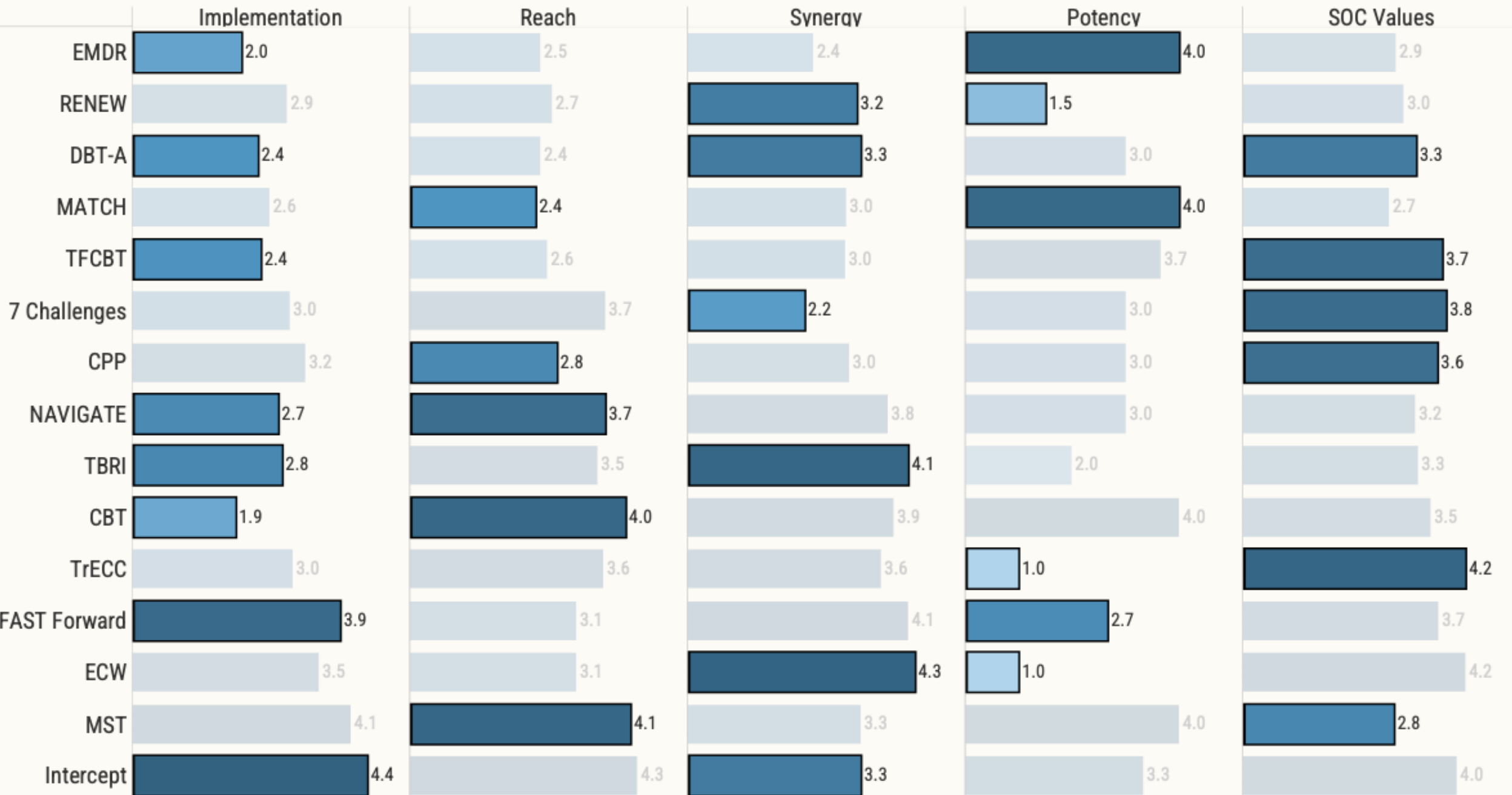
# Average SOCAT scores by domain and practice



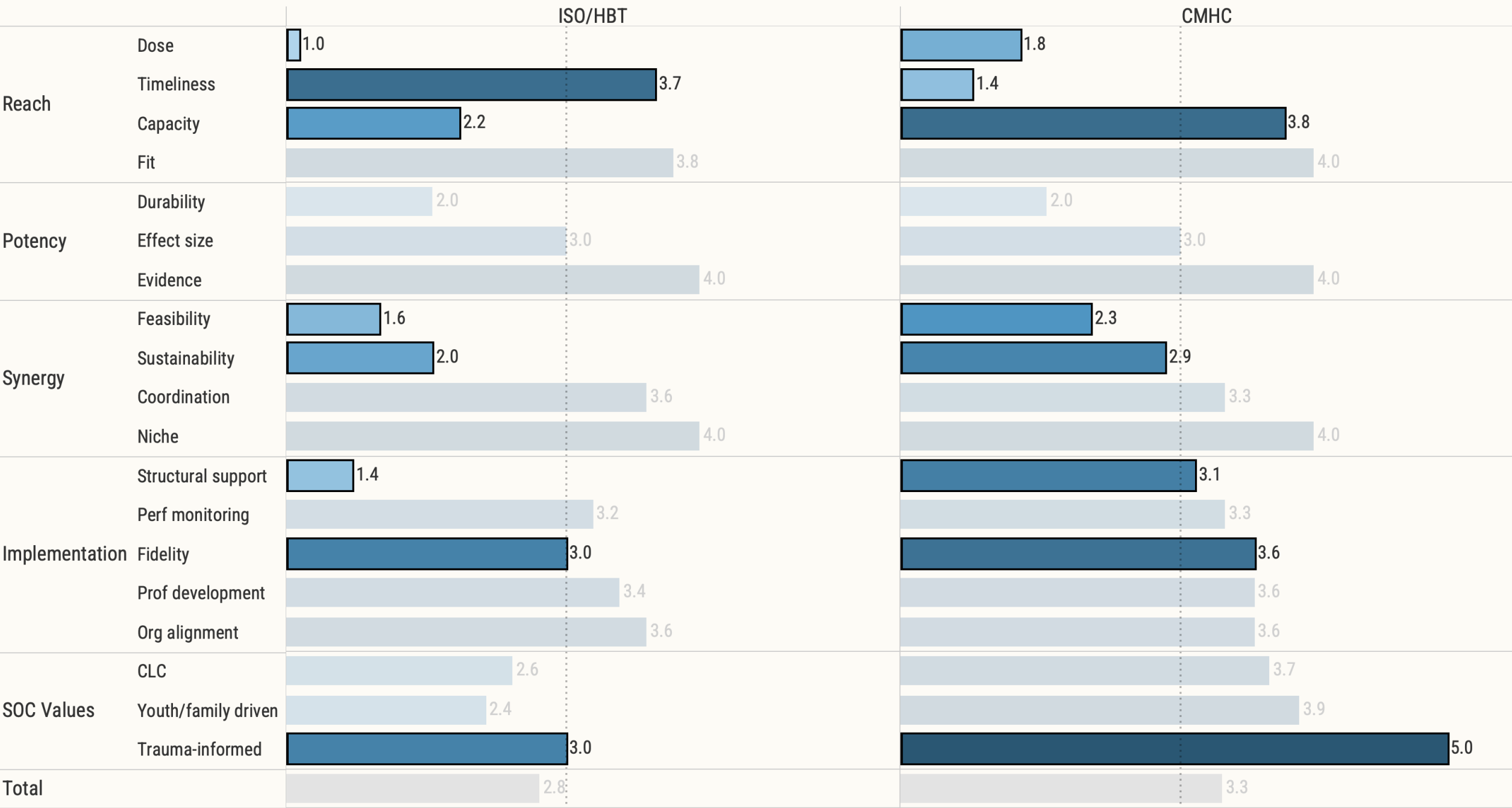
# Average SOCAT scores by domain and practice



# Average SOCAT scores by domain and practice



**CPP: Average SOCAT item scores by site type**



# Lessons learned

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# Practice-specific themes – Assessment 1



RENEW: Strong interest, widely varying implementation



DBT-A: Emerging practice for critically important population



MATCH: Adaptable & foundational practice backsliding



NAVIGATE: Nascent, specialized practice not for every center



FAST Forward: Increasing popularity a double-edged sword?

# Practice-specific themes – Assessment 2

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CPP: a tale of two worlds



Seven Challenges in search of a niche



Baseline TrECC assessment offers hope – and concern



To TFCBT or not to TFCBT – that is the question



Take TBRI to scale

# Practice-specific themes – Assessment 3

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CBT: Treatment as usual



ECW: In need of a more cohesive EC system



EMDR: How does it fit in the overall service array?



MST: Referral & support network need bolstering



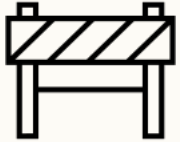
Intercept: When and with whom to use

# System-wide themes

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Despite heroic effort & abundant bright spots, population impact negligible



Structural and financial barriers get in the way



Size, geography, population density makes a difference



Paying for implementation and workforce drivers key

# System improvement ideas

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# Free consult to the system

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## Table talk

What are the primary problems that need to be addressed?

What contributes to those problems?

How could they be fixed, solved, or avoided altogether?

What would be your most logical/feasible next steps?

**Feel free to raise your hand with questions for us...**

# Free consult to the system

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## Large group discussion

What questions, ideas, and solutions came up at your table?

What would you do if you were a...

Practitioner?

Practice agency leader?

State policymaker?

Technical assistance provider?

# References

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Glasgow, R. E., Vogt, T. M., & Boles, S. M. (1999). Evaluating the public health impact of health promotion interventions: The RE-AIM framework. *American Journal of Public Health*, 89(9), 1322–1327. <https://doi.org/10.2105/AJPH.89.9.1322>

Horen, N.M. (2016). Considerations in System of Care Expansion: Expanding Early Childhood Systems of Care. National Technical Assistance Network for Children's Behavioral Health, Substance Abuse and Mental Health Services Administration.

Fauth, J. (October, 2024). Using an annual “system of care assessment” to amplify the voices of agencies and practitioners in New Hampshire’s children’s mental health system. Presentation at the Annual Conference of the American Evaluation Association.

# Find out more...

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## Find the SOC Assessment Reports

[Report 1](#)

[Report 2](#)

[Report 3](#)

(Bonus!) [EBP Readiness Report](#)

## Contact Jim

Jim Fauth, Director

[Behavioral Health Improvement Institute](#)

Keene State College

Keene, NH 03435 -3520

[James.fauth@keene.edu](mailto:James.fauth@keene.edu)

603-313-0381